

EXHIBIT A

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.
112300-860

In Re Application Of: Goins et al.

| Application No. | Filing Date | Examiner | Customer No. | Group Art Unit | Confirmation No. |
|-----------------|--------------------|-----------|--------------|----------------|------------------|
| 09/967,267 | September 28, 2001 | A. Capron | 29159 | 3714 | 5216 |

Title: GAMING DEVICE HAVING A GAME WITH A FUNCTIONAL REFRACTIVE LIGHT DISPLAY

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

P10A/REV05

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.
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| 09/967,267 | September 28, 2001 | A. Capron | 29159 | 3714 | 5216 |

Title: GAMING DEVICE HAVING A GAME WITH A FUNCTIONAL REFRACTIVE LIGHT DISPLAY

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**Certificate of Transmission by Facsimile***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

(Date) _____

Signature _____

Typed or Printed Name of Person Signing Certificate _____

Certificate of Mailing by First Class Mail

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on _____

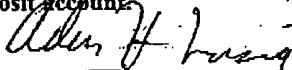
February 14, 2005

(Date) _____

Signature of Person Mailing Correspondence _____

Heather Foster

Typed or Printed Name of Person Mailing Certificate _____

***This certificate may only be used if paying by deposit account.**

Signature

Dated: February 14, 2005

Adam H. Masia
Reg. No. 35,602
BELL, BOYD & LLOYD
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Phone (312) 807-4284

cc:

P10A/REV05

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**Docket No.
0112300-860In Re Application Of: **Goins et al.**

| Application No. | Filing Date | Examiner | Customer No. | Group Art Unit | Confirmation No. |
|-----------------|--------------------|-----------|--------------|----------------|------------------|
| 09/967,267 | September 28, 2001 | A. Capron | 29159 | 2714 | 5216 |

Invention: **GAMING DEVICE HAVING A GAME WITH A FUNCTIONAL RETRACTIVE LIGHT DISPLAY****COMMISSIONER FOR PATENTS:**

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

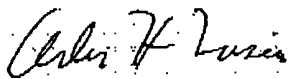
Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.



Signature

Dated: **February 14, 2005**

Adam H. Masia (Reg. No. 35,602)
BELL, BOYD & LLOYD LLC
P.O. box 1135
Chicago, IL 60690-1135
Phone: (312) 807-4284

Certificate of Mailing by First Class Mail

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February 14, 2005

(Date)

Signature of Person Mailing Correspondence

Heather Foster

Typed or Printed Name of Person Mailing Correspondence

CC:

P10C/REV03

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Goins et al.
Appl. No.: 09/967,267
Conf. No.: 5216
Filed: September 28, 2001
Title: GAMING DEVICE HAVING A GAME WITH A FUNCTIONAL
REFRACTIVE LIGHT DISPLAY
Art Unit: 3714
Examiner: A. Capron
Docket No.: 0112300-860

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the reference cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent. The reference was cited in an Office Action dated January 24, 2005, which is associated with corresponding Canadian Patent Application No. 2,405,357. A copy of the Office Action is attached.

Please note that the references cited in the Supplemental Information Disclosure Statement filed on May 26, 2004 have not yet been considered. Applicants have attached a copy of the Supplemental Information Disclosure Statement and the stamped postcard for your review. Applicants respectfully request that the references cited in the Supplemental Disclosure Statement filed on May 26, 2004 be considered for the above-identified patent application.

FOREIGN PATENT DOCUMENT

| <u>Document No.</u> | <u>Date</u> | <u>Country</u> |
|---------------------|-------------------|----------------|
| GB 2 262 642 A | December 18, 1992 | United Kingdom |

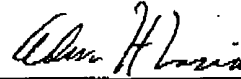
Appl. No. 09/967,267

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY



Adam H. Masia
Reg. No. 35,602
P.O. Box 1135
Chicago, Illinois 60690
Phone: (312) 807-4284

Dated: February 14, 2005

| | | |
|---|-----------------------------------|-------------------------------|
| INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) | Atty Docket No. 112300-860 | Application No. 09/967,267 |
| | Applicant Goins et al. | |
| | Filing Date September 28, 2001 | Group 3714 |

[illegible]

| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------|--------------------|---------------------|----------------|-------|----------|-------------|----|
| Examiner's Initials | Document Number | Publication Date | Country | Class | Subclass | Translation | |
| | | | | | | Yes | No |
| | GB 2 262 642 A | December 18, 1992 | United Kingdom | | | | |
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|--|------------------|
| Examiner: | Date Considered: |
| <p>*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section .609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p> | |